

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** ( Not for submission under 37 CFR 1.99)

|                        |                  |            |
|------------------------|------------------|------------|
| Application Number     |                  | 10783598   |
| Filing Date            |                  | 2004-02-20 |
| First Named Inventor   | David Wortendyke |            |
| Art Unit               | 4171             |            |
| Examiner Name          | Aaron Chiles     |            |
| Attorney Docket Number | MS1-2021US       |            |

## **U.S. PATENTS**

| Examiner Initial* | Cite No | Patent Number | Kind Code <sup>1</sup> | Issue Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear |
|-------------------|---------|---------------|------------------------|------------|---|--|
|                   | 1       | 7136899       |                        | 2006-11-14 | Campailla                                       |  |

If you wish to add additional U.S. Patent citation information please click the Add button.

## **U.S. PATENT APPLICATION PUBLICATIONS**

| Examiner Initial* | Cite No | Publication Number | Kind Code <sup>1</sup> | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear |
|-------------------|---------|--------------------|------------------------|------------------|---|--|
|                   | 1       |                    |                        |                  |   |  |

If you wish to add additional U.S. Published Application citation information please click the Add button.

## **FOREIGN PATENT DOCUMENTS**

| Examiner Initial* | Cite No | Foreign Document Number <sup>3</sup> | Country Code <sup>2</sup> j | Kind Code <sup>4</sup> | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear | T <sup>5</sup>           |
|-------------------|---------|--------------------------------------|-----------------------------|------------------------|------------------|---|--|--------------------------|
|                   | 1       |                                      |                             |                        |                  |   |  | <input type="checkbox"/> |

If you wish to add additional Foreign Patent Document citation information please click the Add button.

## **NON-PATENT LITERATURE DOCUMENTS**

| Examiner Initials* | Cite No | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>5</sup> |
|--------------------|---------|---|----------------|
|                    |         |   |                |

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

( Not for submission under 37 CFR 1.99)

|                        |                  |
|------------------------|------------------|
| Application Number     | 10783598         |
| Filing Date            | 2004-02-20       |
| First Named Inventor   | David Wortendyke |
| Art Unit               | 4171             |
| Examiner Name          | Aaron Chiles     |
| Attorney Docket Number | MS1-2021US       |

|   |   |                          |
|---|---|--------------------------|
| 1 | Atinel et al., "Efficient Filtering of XML Documents for Selective Dissemination of Information", Proceedings of the 26th VLDB Conference, 2002, pp. 53-64. | <input type="checkbox"/> |
| 2 | Lakshmanan, et al., "On Efficient Matching of Streaming XML Documents and Queries", pp 1-18   | <input type="checkbox"/> |

If you wish to add additional non-patent literature document citation information please click the Add button

## **EXAMINER SIGNATURE**

|                    |                 |
|--------------------|-----------------|
| Examiner Signature | Date Considered |
|--------------------|-----------------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.